

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:

Ronald A. Katz et al.

FILING DATE:

Herewith

GROUP:

U.S. PTO  
09/505619

02/16/00

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
QW	AA	4,792,968	12/20/88	Katz	379	92	02/24/87
	AB	4,799,156	01/17/89	Shavit et al.	364	401	10/01/86
	AC	4,825,045	04/25/89	Humble	235	383	07/24/86
	AD	4,833,308	05/23/89	Humble	235	383	05/23/89
	AE	4,845,739	07/04/89	Katz	379	92	05/16/88
	AF	4,870,579	09/26/89	Hey	364	419	10/01/87
	AG	4,908,761	03/13/90	Tai	364	401	09/16/88
	AH	4,910,672	03/20/90	Off et al.	364	405	12/04/87
	AI	4,996,642	02/26/91	Hey	364	419	09/25/89
	AJ	5,053,957	10/01/91	Suzuki	364	405	10/20/88
	AK	5,056,019	10/08/91	Schultz et al.	364	405	08/29/89
	AL	5,173,851	12/22/92	Off et al.	364	401	02/15/90
	AM	5,201,010	04/06/93	Deaton et al.	382	7	05/19/92
	AN	5,305,196	04/19/94	Deaton et al.	364	401	05/19/92
	AO	5,327,508	07/05/94	Deaton et al.	382	7	02/10/93
	AP	5,353,218	10/04/94	De Lapa et al.	364	401	09/17/92
	AQ	5,353,219	10/04/94	Mueller et al.	364	405	06/10/93
	AR	5,388,165	02/07/94	Deaton et al.	382	7	01/04/94
	AS	5,412,708	05/02/95	Katz	348	14	03/12/93
	AT	5,430,644	06/04/95	Deaton et al.	364	401	11/09/94
	AU	5,448,471	09/05/95	Deaton et al.	364	401	03/30/94
QW	AV	5,481,094	01/02/96	Suda	235	383	03/17/94

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03/26/03

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	AW	5,495,284	02/27/96	Katz	348	15	11/17/93
	AX	5,502,368	03/26/96	Syverson et al.	322	28	06/06/94
	AY	5,502,636	03/26/96	Clarke	364	401	01/31/92
	AZ	5,504,675	04/02/96	Cragun et al.	364	401	12/22/94
	BA	5,576,951	11/19/96	Lockwood	395	227	03/16/94
	BB	5,583,763	10/10/96	Atcheson et al.	364	551	09/09/93
	BC	5,592,560	01/07/97	Deaton et al.	382	100	09/08/94
	BD	5,612,868	03/18/97	Off et al.	364	214	02/09/95
	BE	5,615,342	03/25/97	Johnson	395	227	02/05/96
	BF	5,621,812	04/15/97	Deaton et al.	382	100	05/17/93
	BG	5,625,276	04/29/97	Scott et al.	322	24	01/09/95
	BH	5,625,776	04/29/97	Johnson	395	227	06/29/94
	BI	5,631,544	05/20/97	Syverson et al.	322	46	06/02/95
	BJ	5,636,346	06/03/97	Saxe	705	1	
	BK	5,638,457	06/10/97	Deaton et al.	382	100	02/28/94
	BL	5,642,485	06/24/97	Deaton et al.	395	214	06/01/95
	BM	5,644,723	07/01/97	Deaton et al.	395	214	01/04/94
	BN	5,649,114	07/15/97	Deaton et al.	395	214	06/01/95
	BO	5,656,922	08/12/97	LaVelle et al.	322	46	12/14/94
	BP	5,659,469	08/19/97	Deaton et al.	395	214	04/27/95
	BQ	5,689,553	11/18/97	Ahuja et al.	379	202	04/22/93
	BR	5,710,887	01/20/98	Chelliah et al.	395	226	08/29/95
	BS	5,715,399	02/03/98	Bezos	395	227	05/30/95
	BT	5,721,832	02/24/98	Westrope et al.	705	27	

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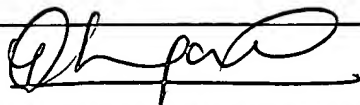
92	BU	5,758,328	05/26/98	Giovannoli	705	26	02/22/96
	BV	5,794,207	08/11/98	Walker et al.	705	23	09/04/96
	BW	5,794,209	08/11/98	Agrawal et al.	705	10	
	BX	5,845,263	12/01/98	Camaisa et al.	705	27	
	BY	5,848,396	12/08/98	Gerace	705	10	
	BZ	5,855,008	12/29/98	Goldhaber et al.	705	14	
	CA	5,857,175	01/05/99	Day et al.	705	14	
92	CB	5,893,075	04/06/99	Plainfield et al.	705	14	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
92	CC	WO94/21084	09/15/94	WIPO (Katz)			X
	CD	WO97/21183	06/12/97	WIPO (Bell Communications)			X
	CE	WO98/34189	08/06/98	WIPO (Flycast)			
	CF	WO98/53406	11/26/98	WIPO (MagicLogic)			
	CG	WO98/58334	12/23/98	WIPO (DoubleClick)			X
	CH	WO99/06914	02/11/99	WIPO (Acxiom)			X
92	CI	WO99/13424	03/18/99	WIPO (Amazon.com)			X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

92	CJ	Alta Vista Alters its Vision of the Market, Abstract from Wall Street Journal (1996)
	CK	Amazon.com Website Printout dated 02/22/98
	CL	Amazon.com Website Printout dated 02/24/98
	CM	Amazon.com Website Printout dated 07/08/98
92	CN	Angiolillo, J. et al., "Personal Visual Communications Enters the Marketplace", AT&T Technology Products, Systems and Services, Vol. 7, No. 3, pp 18-28, Fall, 1992

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QR	CO	Arbor Software Website Printouts dated 06/30/98
	CP	Brittan, David, "Being There - The Promise of Multimedia Communications", Technology Review, pp 43-50, May/June, 1992
	CQ	Cerbone, R., "The Coming HDTV Wave", AT&T Technology Products, Systems and Services, Vol. 7, No. 3, pp 14-17, Fall, 1992
	CR	Charles Schwab Asset Allocation Toolkit Website Printout dated 02/20/98
	CS	DoubleClick on New Media Buying, Abstract from Inside Media (1996)
	CT	DoubleClick S1 Statement dated 02/19/98
	CU	Englander, A.C., "Creating Tomorrow's Multimedia Systems Today", AT&T Technology Products, Systems and Services, Vol. 7, No. 3, pp 24-30, Fall, 1992
	CV	Ensor, J. R., "The Rapport Multimedia Conferencing System - A Software Overview", IEEE, pp 52-58
	CW	Fidelity Investments Letter from J. Gary Burkhead to Investors, dated 02/01/98
	CX	Harvey, D.E., "Videoconferencing Systems: Seeing Is Believing", AT&T Technology Products, Systems and Services, Vol. 7, No. 3, pp 7-13, Fall, 1992
	CY	Hays, Laurie, "Using Computers to Divine Who Might buy a Gas Grill", Wall Street Journal, August 16, 1994
	CZ	Kalakota et al., "Electronic Commerce: A Manager's Guide", Addison-Wesley Longman, 1997
	DA	Kohda et al., "Ubiquitous Advertising on the WWW: Merging Advertisement on the Browser", Proceedings of the Fifth International World Wide Web Conference, May, 1996 (France)
	DB	Middleton, T., "IT Stars in Video Chain's Rollout, Blockbuster Integrates Customer Data on Multiple Platforms", January 30, 1995
	DC	Paragren Website Printouts dated 06/30/98
	DD	Posko, J.L., "Versatile Services Streamline Global Videoconferencing", AT&T Technology Products, Systems and Services, Vol. 7, No. 3, pp 2-8, Fall, 1992
	DE	"Potent New Tool for Selling", Business Week, September 5, 1994
QR	DF	Thearling, Kurt, "From Data Mining to Database Marketing", DIG White Paper, February, 1995

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 2

**Complete if Known**

<b>Application Number</b>	<b>09/505,619</b>
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<b>Filing Date</b>	February 16, 2000
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First Named Inventor	Ronald Katz
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Art Unit	2768
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Examiner Name	NA
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Attorney Docket Number PAT-009B

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>(1)</sup> (if known)			
92	AF	US- 5,353,219 A1	10/04/1994	Mueller, Raymond, et al.	
	AG	US- 5,845,263 A1	12/01/1998	Camaisa, Allan J.	
	AH	US- 5,848,396 A1	12/08/1998	Gerace, Thomas A.	
	AI	US- 5,857,175 A1	01/05/1999	Day, et al.	
	AJ	US- 5,915,243 A1	06/22/1999	Smolen, Daniel T.	
	AK	US- 6,064,987 A1	05/16/2000	Walker, Jay S.	
	AL	US- 6,381,583 B1	04/30/2002	Kenney, John A.	
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**FOREIGN PATENT DOCUMENTS**

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1 Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	09/505,619
Sheet <u>2</u> of <u>2</u>		Filing Date	February 16, 2000
		First Named Inventor	Ronald Katz
		Group Art Unit	2768
		Examiner Name	NA
		Attorney Docket Number	PAT-009B

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
QR	A1	JONATHAN BERRY, ET AL., Database Marketing: A Potent New Tool For Selling, Business Week, September 5, 1994, Number 3388, Page 56.	
	A2	RAVI KALAKOTA AND ANDREW B. WHINSTON, Electronic Commerce A Manager's Guide, ISBN 0-201-88067-9, 1997 by Addison Wesley Longman, Inc.	
QR	A3	LAURIE HAYS, Technology: Using Computers To Divine Who Might Buy A Gas Grill, Wall Street Journal, Aug. 16, 1994.	

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Examiner Signature		Date Considered	03/26/03
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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